



EWEA Analysis of Operating Wind Farms 2016  
Spain Bilbao Apr 2016

 龙源电力集团股份有限公司  
CHINA LONGYUAN POWER GROUP CORPORATION LIMITED

*Wind Turbine Major Components Failure  
Predicting Based on SCADA Data Analysis*

*Li Shaowu*



China Longyuan Power Group

Xu Jia

Liu Ruihua

City University of Hong Kong

Zhang Zijun

Wang Long

Long Huan



香港城市大學  
City University of Hong Kong

專業 創新 胸懷全球  
Professional · Creative  
For The World



## LongYuan Power Wind Energy Overview/Portfolio

>15 GW Wind Energy

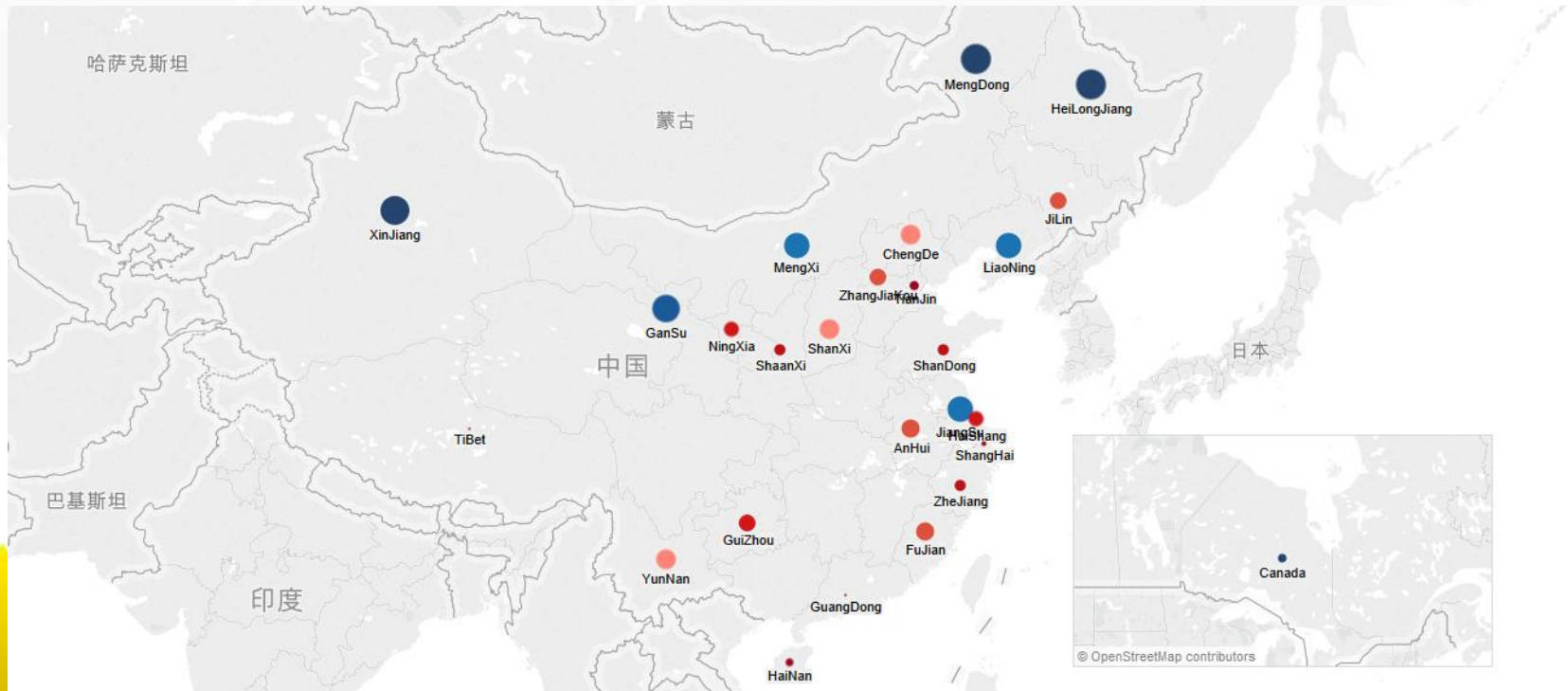
>11000 Wind Turbines

>160 Wind Farms

22 Different Turbine Manufacturers 90 Models

Investment, Design, Development, Construction, Management, O&M service.

Owner maintained more than 75% turbines in China



## What we use SCADA data for

KPI

PBA

Benchmark analysis  
of Provincial company  
KPI

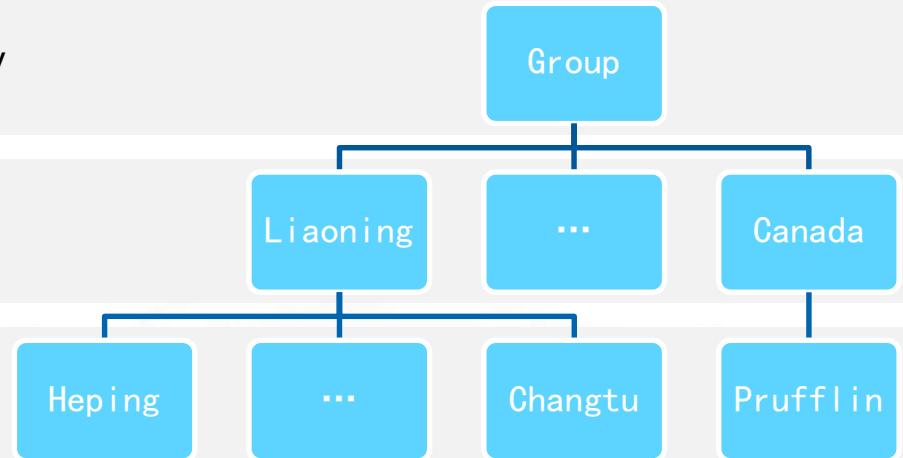
MTBT

Benchmark analysis  
of wind farms KPI

...

MTBR

Turbine by turbine  
analysis



### Power Curve Monitoring

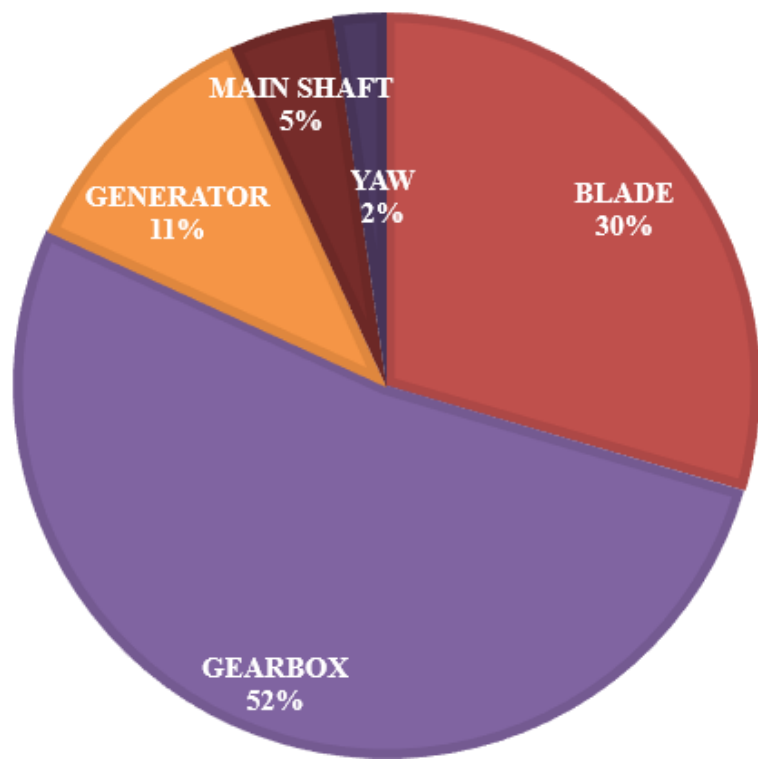
- Automatic classification
- Anomaly detection
- Anomaly data mining

### Failure Predicting and Monitoring

- Blade
- Gearbox
- Generator
- Converter



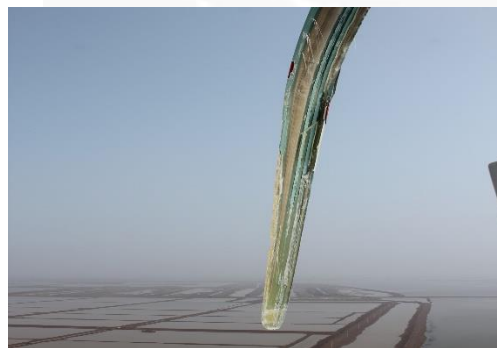
## Major Component Failures in LongYuan, 2015



Distribution of Major Component Failures



The damaged Gearbox

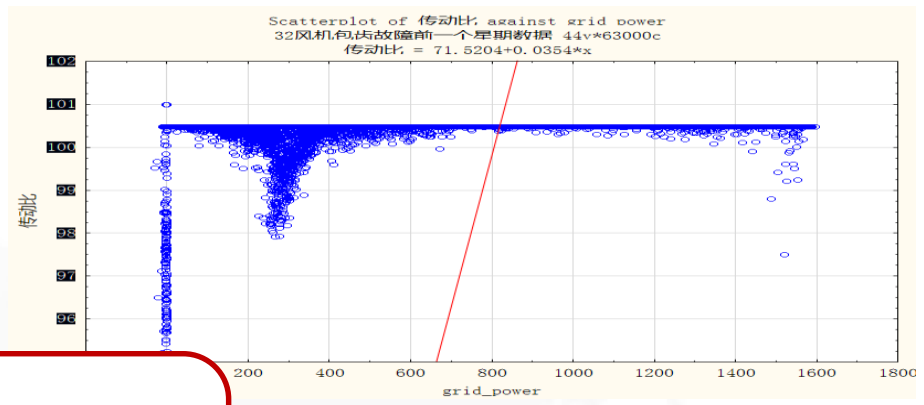
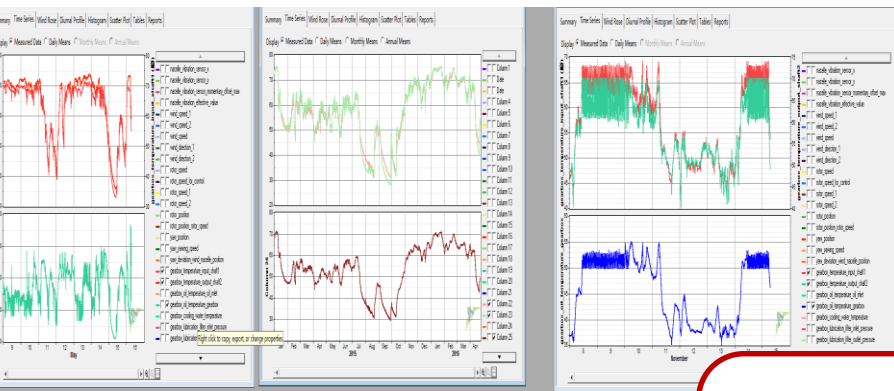


The damaged blade



## Time sequence of oil temperature analysis

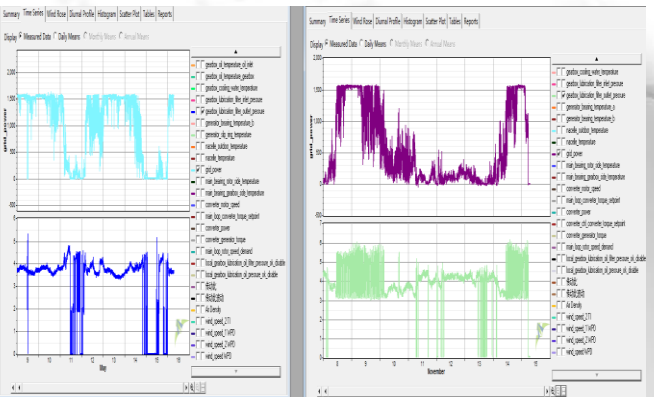
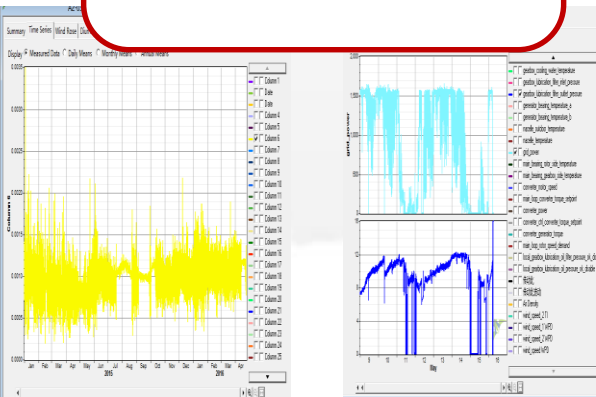
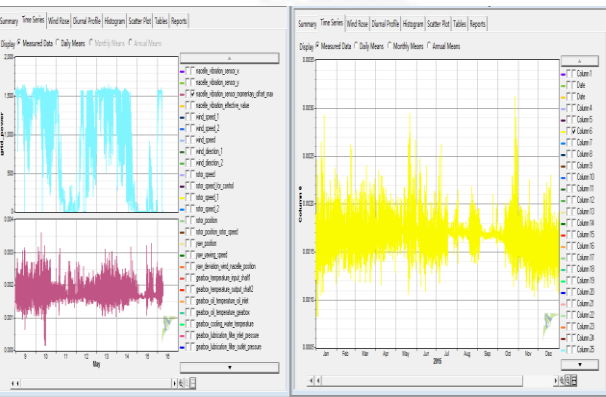
## Transmission ratio analysis



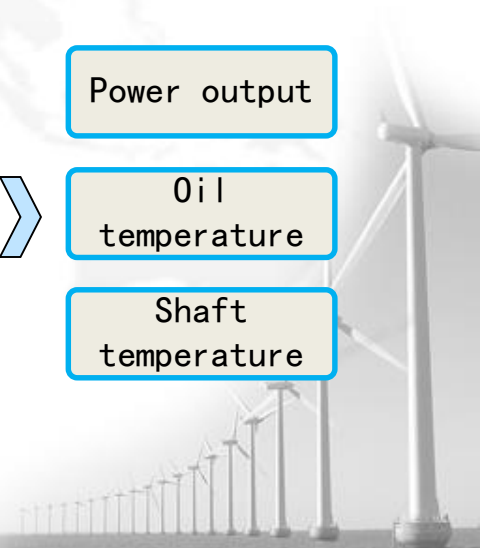
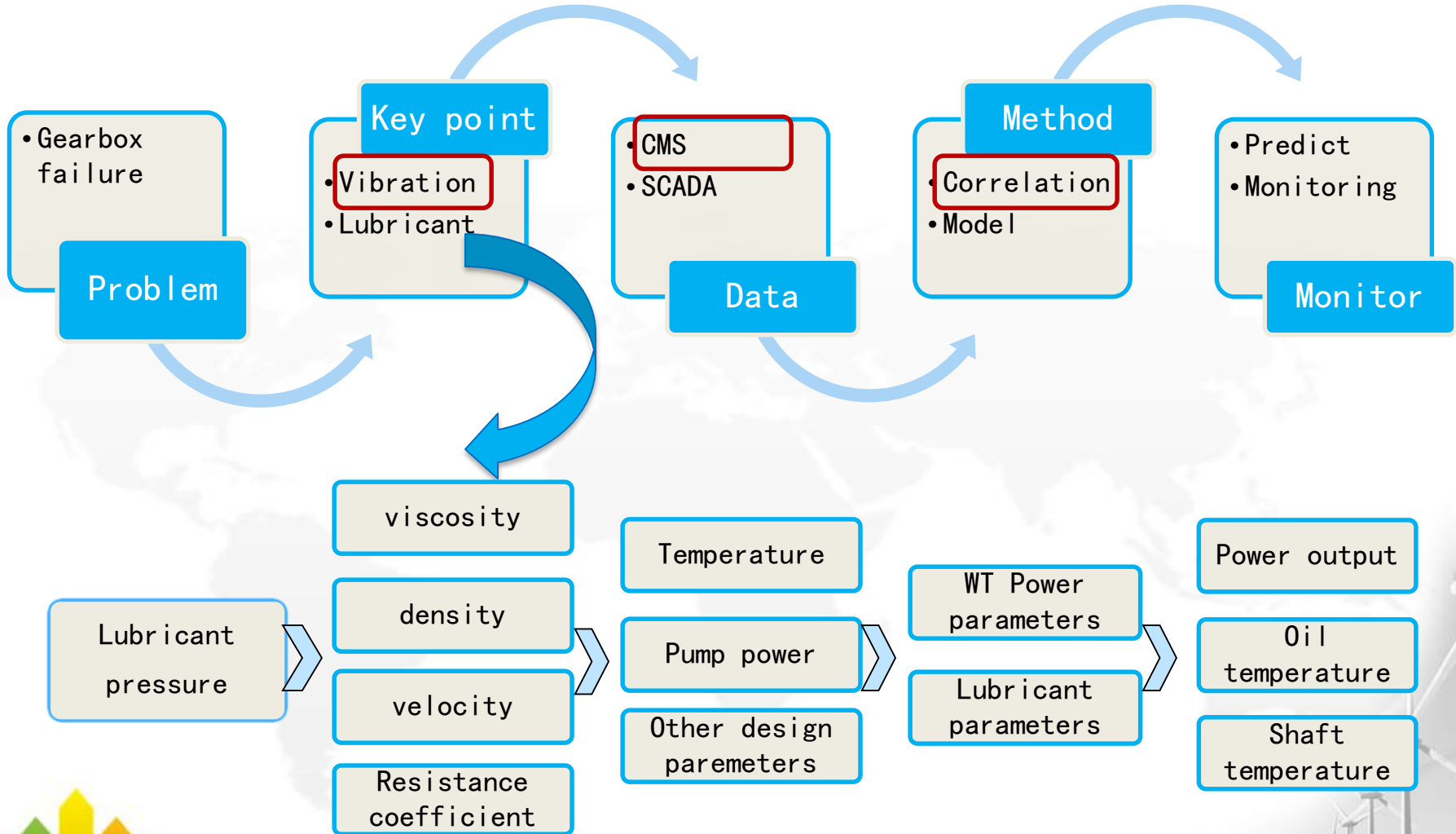
*Failed*

## Vibration signals analysis

## Pressure analysis



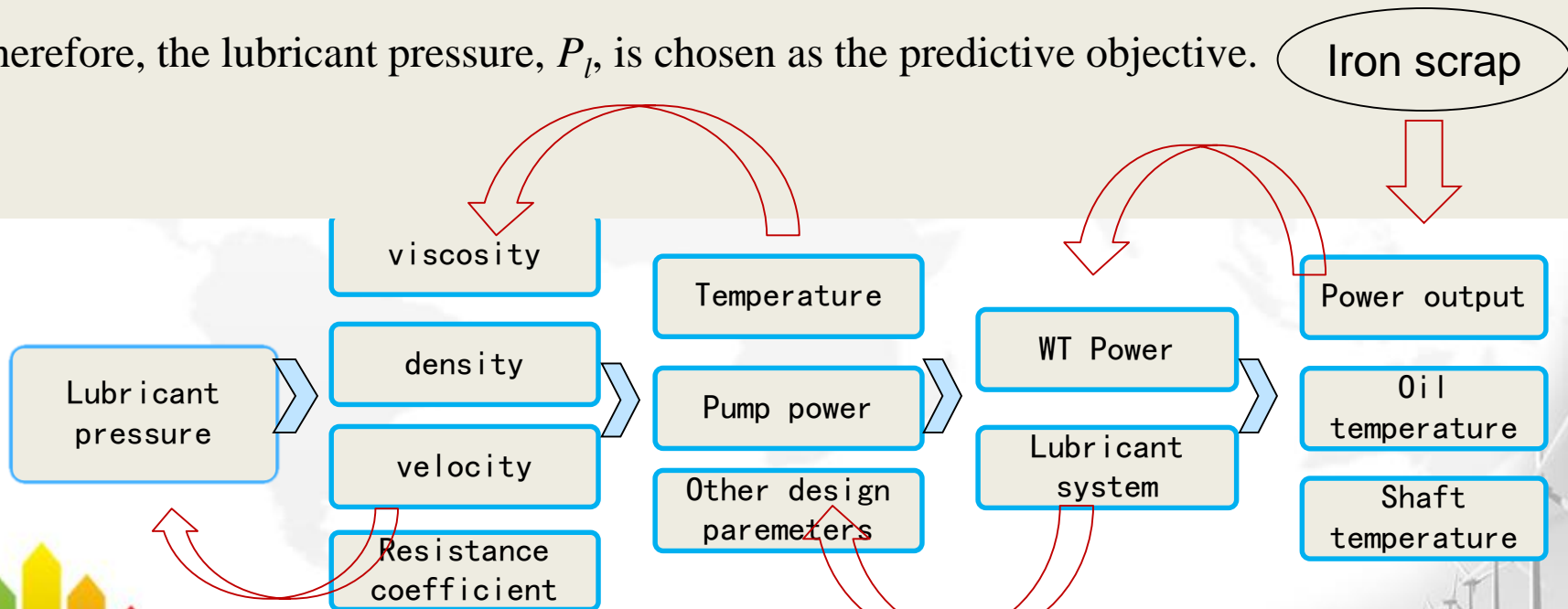
# Root Cause analysis



## The effect of iron scrap

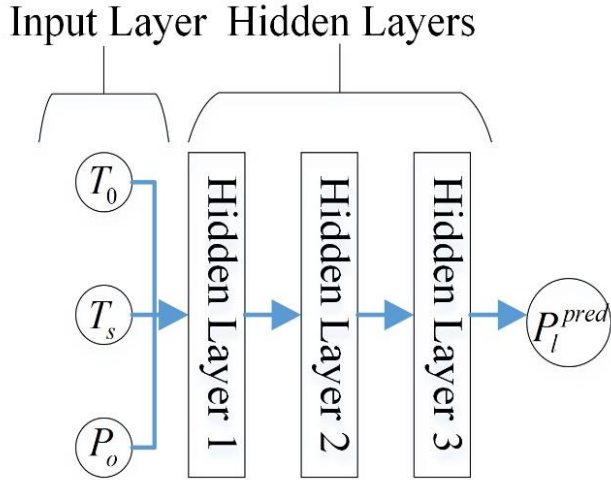
- 1、 The lubricant is used to cool down the gearbox
- 2、 The change of the lubricant pressure follows the change of the power output.  
Compared with the gearbox oil temperature, the lubricant pressure is more resistant to the impact of the environmental temperature.
- 3、 If there is the mechanical wear on the gearbox, the iron scrap will fall into the lubricant oil and the lubricant pressure will change.

Therefore, the lubricant pressure,  $P_l$ , is chosen as the predictive objective.

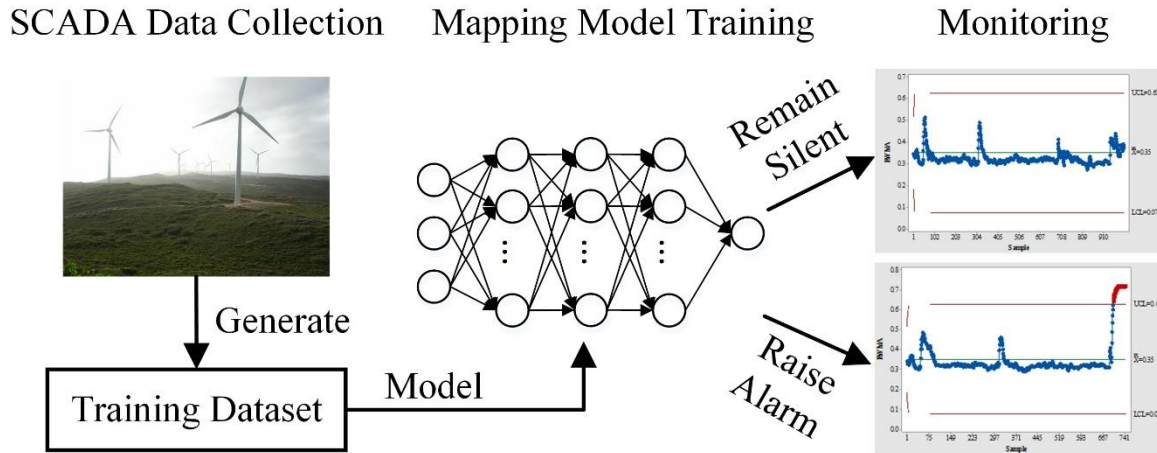




# The model for Gearbox – Deep Neutral Network



Gearbox oil temperature,  $T_o$   
 Power output,  $P_o$   
 Shaft temperature,  $T_s$ .



The schematic diagram of DNN



### Deep Neutral Network

The trained model is

$$\hat{P}_i = f(T_0, T_s, P_o)$$

The activation function:

$$\tanh(t) = \frac{e^t - e^{-t}}{e^t + e^{-t}}$$

The training process of DA is to learn the parameters,

$$\{\mathbf{W}_n, \mathbf{b}_n\} = \operatorname{argmin}_{\mathbf{W}_n, \mathbf{b}_n} \sum_{i=1}^N \frac{1}{2} (\hat{P}_i - P_i)^2, n = 0, 1, \dots, L$$

### EWMA Control Chart

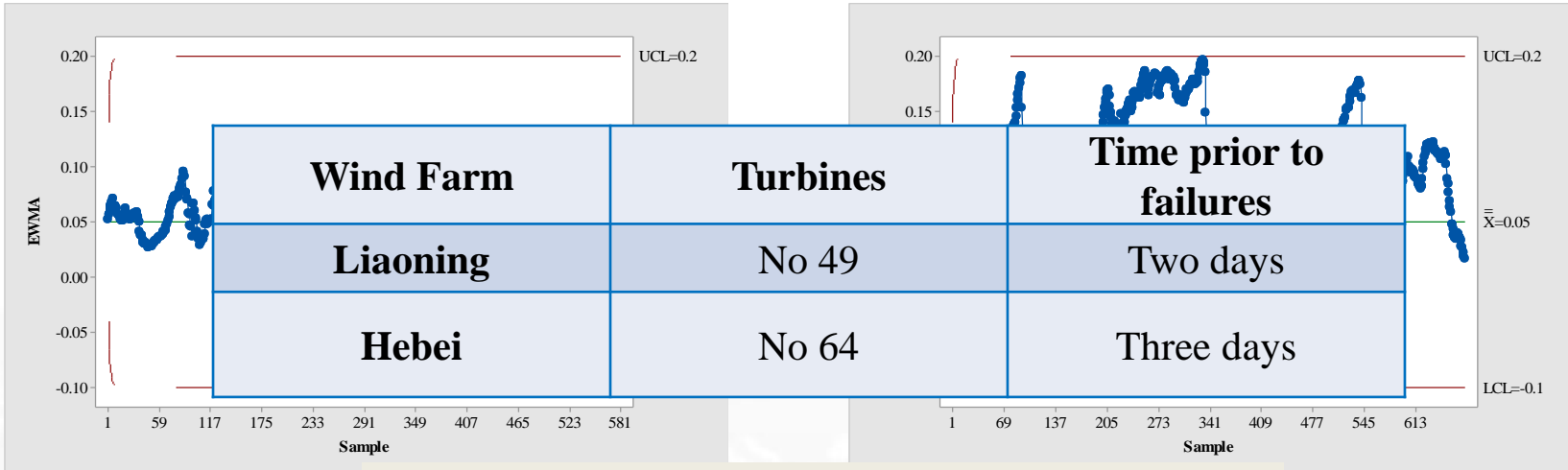
$$z_t = \lambda e_{rt} + (1 - \lambda) z_{t-1}$$

The upper and lower EWMA control limits depend on time

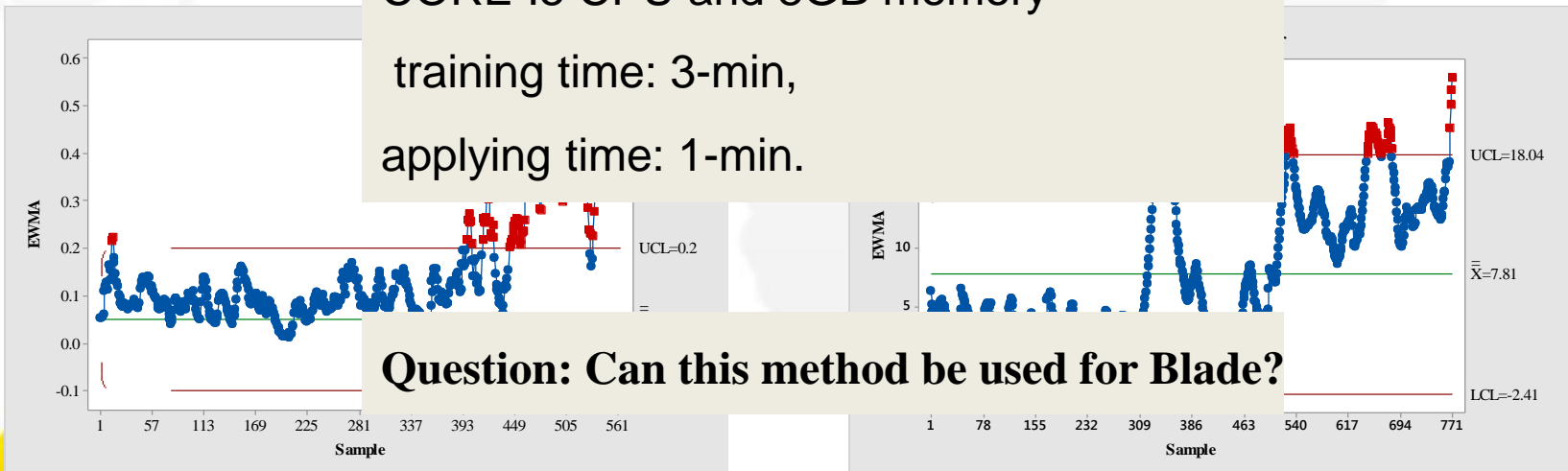
$$UCL(t) = \mu_{e_r} + L\sigma_{e_r} \sqrt{\frac{\lambda[1 - (1 - \lambda)^{2t}]}{(2 - \lambda)n}}$$

$$LCL(t) = \mu_{e_r} - L\sigma_{e_r} \sqrt{\frac{\lambda[1 - (1 - \lambda)^{2t}]}{(2 - \lambda)n}}$$



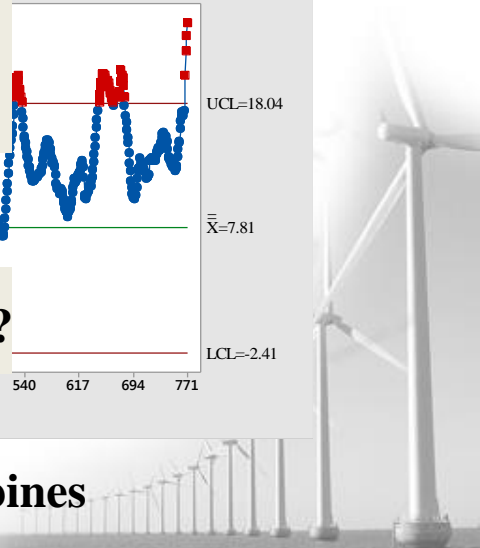


CORE I5 CPU and 8GB memory  
 training time: 3-min,  
 applying time: 1-min.

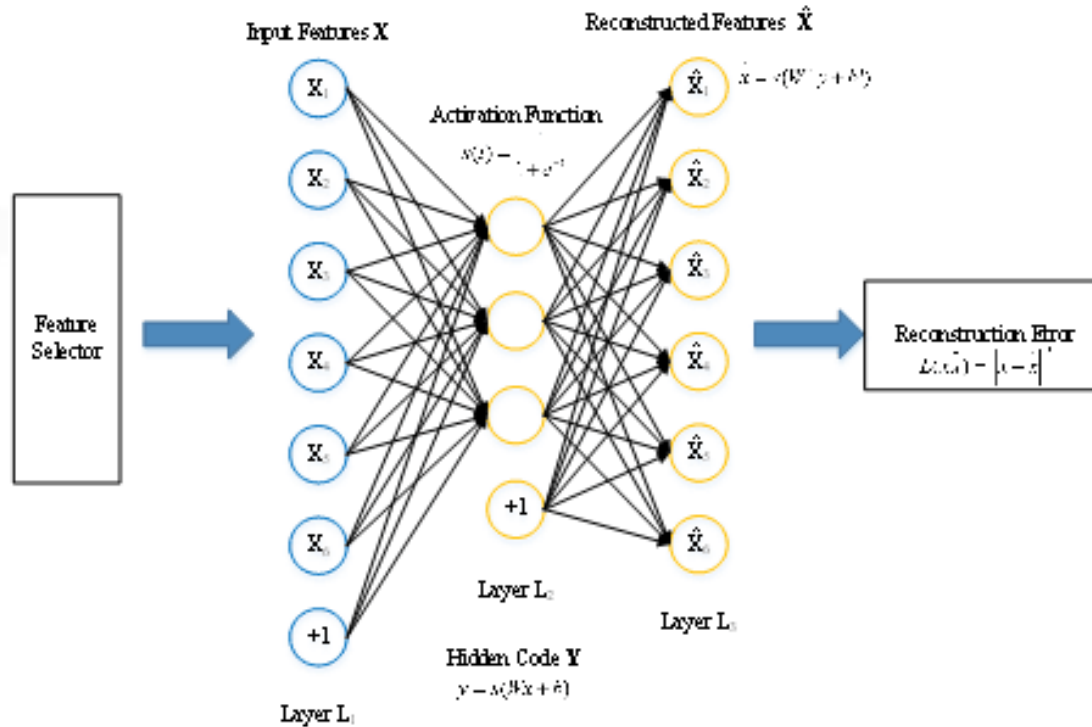


**Question: Can this method be used for Blade?**

**The EWMA chart of normal and abnormal turbines**

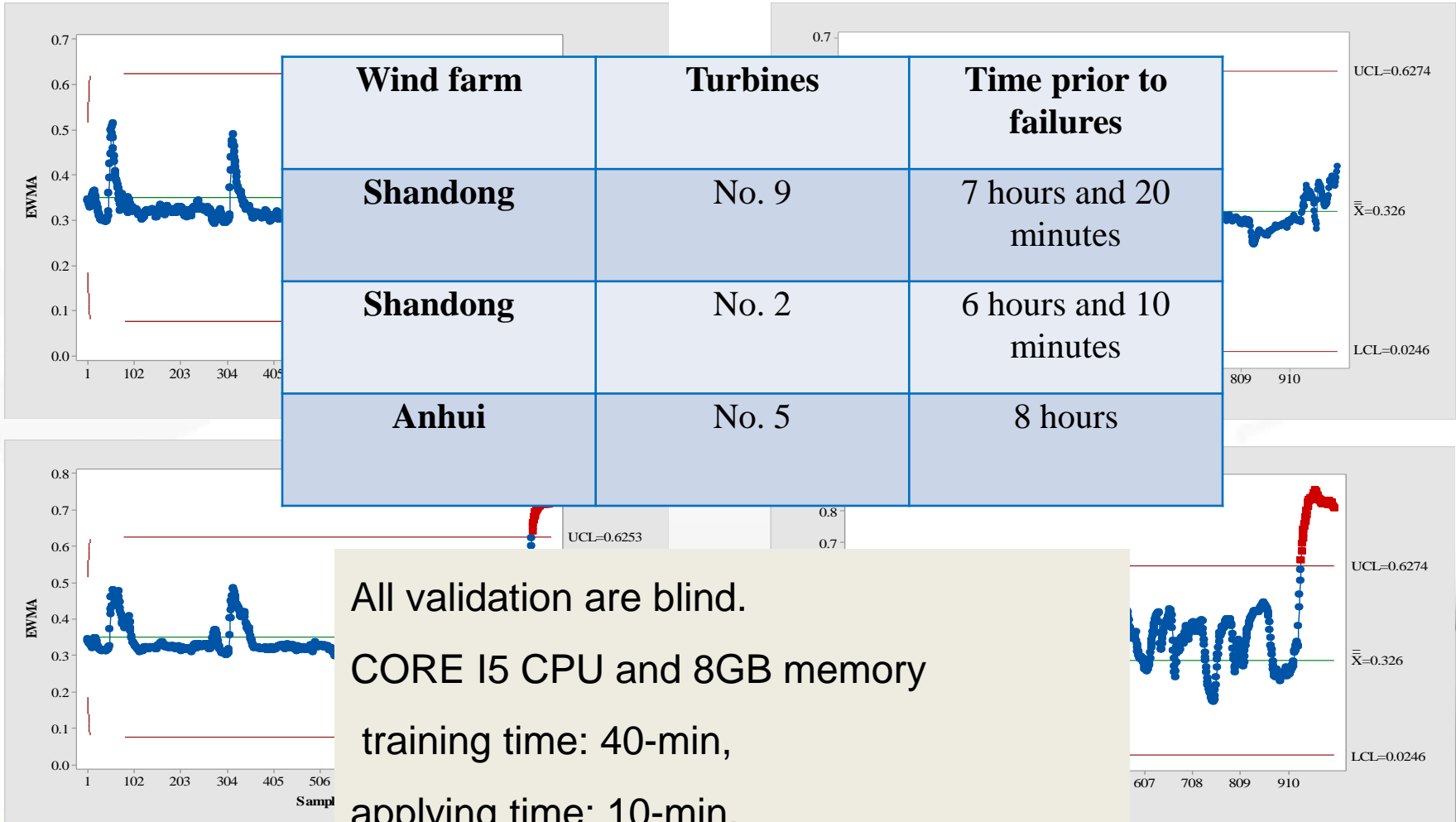


## The model for Blade – deep autoencoder



Schematic diagram of Deep Autoencoder

Parameter	Notation
wind speed	$v_w$
power	$P$
generator speed	$v_g$
rotor speed	$v_r$
U1 voltage	$U_1$
U2 voltage	$U_2$
U3 voltage	$U_3$
U1 current	$I_1$
U2 current	$I_2$
U3 current	$I_3$
power factor	$f_p$
power grid frequency	$f_g$
wind direction	$D_w$
wind angle	$\theta_w$
blade angle	$\theta_b$
environment temperature	$T_e$
nacelle temperature	$T_n$
gearbox oil temperature	$T_g$
hydraulic oil temperature	$T_k$
U1 winding temperature	$T_{u1}$
gearbox axis 1 temperature	$T_{g1}$
gearbox axis 2 temperature	$T_{g2}$
motor bearing A temperature	$T_A$
motor bearing B temperature	$T_B$
generating capacity	$P_c$



All validation are blind.  
 CORE I5 CPU and 8GB memory  
 training time: 40-min,  
 applying time: 10-min.

**THE EWMA CHART OF NORMAL AND ABNORMAL TURBINES**



## Conclusions

- The DNN model is applicable to identify impending gearbox failure base on SCADA data.
- The DA model is applicable to identify impending blade failure based on SCADA data.
- The proposed method raised alarms early enough for the replacement or repair.
- There were no false alarms for failure monitoring.
- The effectiveness of these methods needs to be further examined by more cases.
- At present, these models have been deployed for monitoring the failure in a wind farm of Longyuan.





Question?  
Li Shaowu  
[lishaowu@clypg.com.cn](mailto:lishaowu@clypg.com.cn)

李韶武 Li Shaowu

LongYuan(Beijing) wind power engineering technology Co., LTD

Adr : The 6-9<sup>th</sup>, North Avenue Fuchengmen Xicheng District, Beijing

Tel : 010-63887171

Mail : [lishaowu@clygp.com.cn](mailto:lishaowu@clygp.com.cn)

