

Desarrollo de la criptografía Con énfasis en Latino América

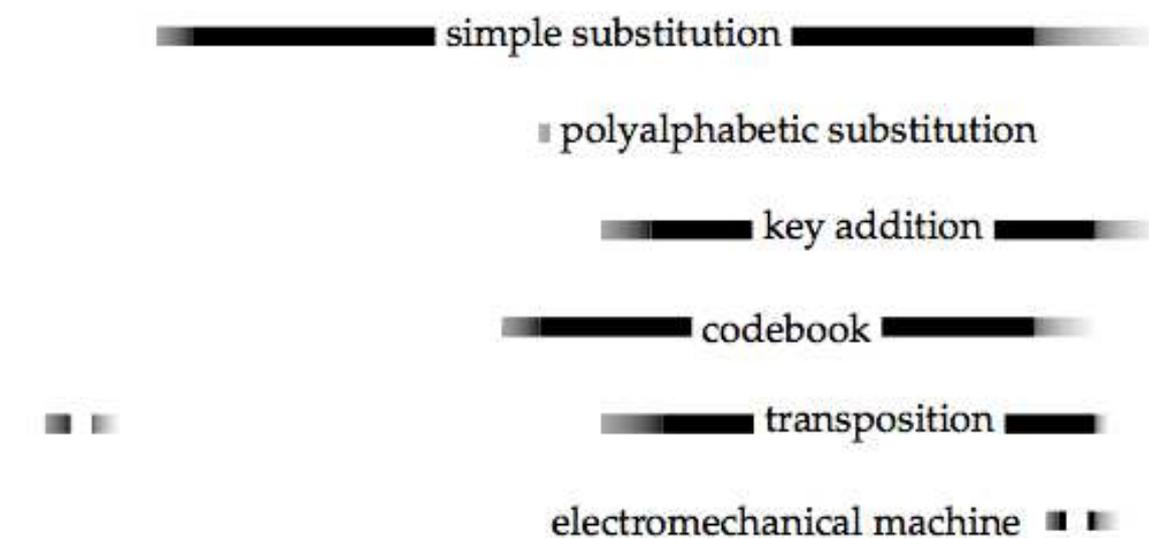
Universidad de la República
Montevideo, Uruguay

Joachim von zur Gathen
Bonn, Alemania



Criptografía durante los milenios, antes computadores

$-\infty$ 0 500 1000 1500 1600 1700 1800 1900 2000



Two fundamental cryptographic primitives:

- ▶ substitution,
- ▶ transposition.

Claude Shannon (1949): *confusion* and *diffusion*.

Goal: create enough of them to provide secrecy in communication.

Modern notions of cryptographic primitives: one-way and trapdoor functions.

Modern notions of security: 1970s.

Based on concepts from computational complexity.

Kerckhoffs' Principle

Auguste Kerckhoffs (1883) Principle:

Il faut qu'il [le système] n'exige pas le secret, et qu'il puisse sans inconvénient tomber entre les mains de l'ennemi.

It must not be required to keep the system secret, and it must be able to fall into the hands of the enemy without harm.

Still today, some companies believe in *security by secrecy* and develop systems in secrecy, which are in due course reverse-engineered and broken.

Famous example of failed *security by secrecy*: widely deployed *KeeLoq* system for protecting radio access to cars and garages. After being reverse-engineered, it was broken and the secret key can be recovered in seconds. The system is still in use!

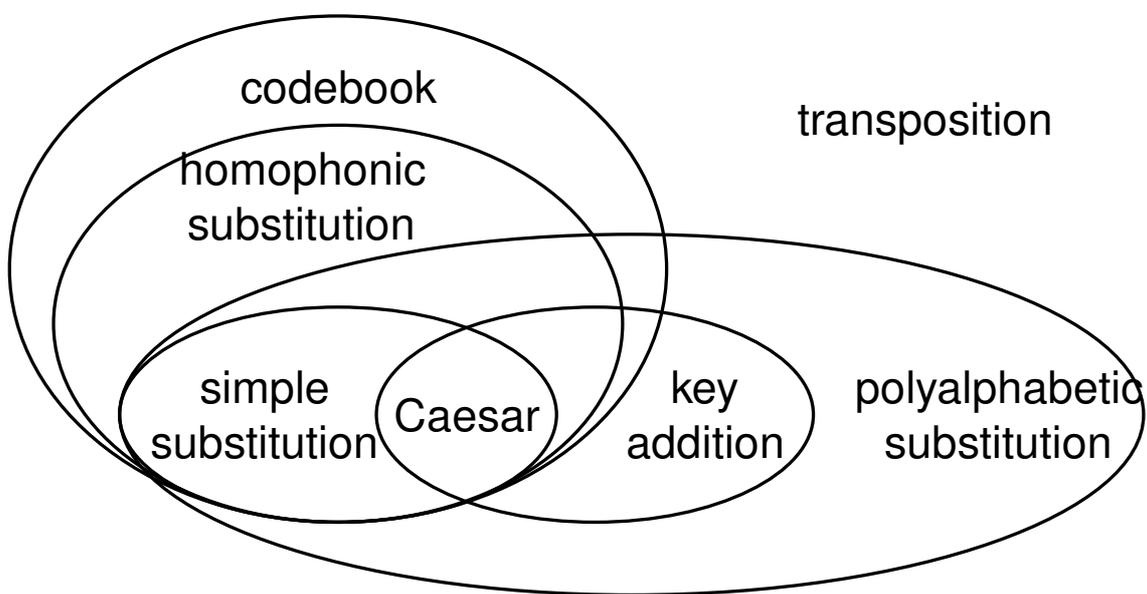


Figure : Taxonomy of classical cryptosystems.

Substitution: some *alphabet* X . E.g., the 26-letter English alphabet $A = \{a, b, c, \dots, x, y, z\}$, or pairs of letters (*digrams*), so that $X = A^2$, or even longer *polygrams*, or bits $\mathbb{B} = \{0, 1\}$, or 128-bit words $X = \mathbb{B}^{128}$ for AES. In general, X is an arbitrary finite set. Furthermore, we have another alphabet Y , which might equal X or not.

- ▶ A (*simple*) substitution is a bijection $\sigma: A \longrightarrow Y$ from letters to some alphabet Y . Often $Y = A$.
- ▶ AES-128 uses two substitutions. The first is the fixed substitution SUBBYTES which consists of the patched inversion σ on \mathbb{F}_{256} with $\sigma(x) = x^{-1}$ if $x \neq 0$ and $\sigma(0) = 0$, followed by a linear map, and is applied individually to each of the 16 blocks. The second one is the key addition $\sigma = \text{ADDKEY}: \{0, 1\}^{128} \longrightarrow \{0, 1\}^{128}$, where the 128-bit key (which we here consider as fixed) and the states are added bitwise.
- ▶ RSA with public key (N, e) is the substitution $\sigma: \mathbb{Z}_N \longrightarrow \mathbb{Z}_N$ with $\sigma(x) = x^e$.

A	B	C	D	E	F	G	H	I	J	K	L	M
0	1	2	3	4	5	6	7	8	9	10	11	12
N	O	P	Q	R	S	T	U	V	W	X	Y	Z
13	14	15	16	17	18	19	20	21	22	23	24	25

Table : Letter-to-number conversion in a 26-letter alphabet.

- ▶ The *Caesar cipher* identifies A with \mathbb{Z}_{26} and uses the substitution $\sigma: \mathbb{Z}_{26} \rightarrow \mathbb{Z}_{26}$ with $\sigma(x) = x + 3$. More generally, we might have any key $k \in \mathbb{Z}_{26}$ and use $\sigma_k(x) = x + k$. Caesar's Latin alphabet had 24 letters, identifying $I = J$ and $U = V$. It is not clear from the historical accounts how the cyclic shift is handled. Is $\sigma(Z) = C$? This is what we would use in a modern sense. The later *Augustus cipher* σ_1 , the shift by one letter, uses $\sigma(Z) = AA$. Today's modular arithmetic is younger by 1800 years, basically due to Gauß (1801).

Frequency analysis

Any simple substitution is easy prey to *frequency analysis*, if only the message is long enough. This cryptanalysis requires as its main tools frequency tables for individual letters, but also for *digrams* (pairs of letters), *trigrams* (triples), and short words. Below are are eight lists of letter frequencies in percent.

Frequency analysis

letter	COCA	HP	Ch.15	MM	D	F	E	I
a	8.28	7.94	7.83	8.04	5.26	7.75	12.25	10.71
b	1.54	1.59	1.53	1.54	1.85	0.99	1.48	0.74
c	3.18	1.99	2.91	3.06	3.62	2.67	3.63	5.14
d	3.9	4.96	3.47	3.99	5.05	3.35	5.33	3.73
e	12.17	11.91	12.27	12.51	17.41	16.61	14.01	12.04
f	2.14	2.05	2.70	2.30	1.50	1.08	0.46	1.29
g	2.12	2.57	1.68	1.96	2.94	1.29	1.05	1.82
h	5.03	6.73	4.43	5.49	5.90	0.93	1.22	1.81
i	7.29	6.21	8.80	7.26	8.85	7.33	5.50	10.26
j	0.19	0.11	0.10	0.16	0.15	0.71	0.64	
k	0.82	1.20	0.23	0.67	1.13			0.01
l	4.22	4.36	4.14	4.14	3.75	4.90	5.45	5.78
m	2.53	2.21	2.88	2.53	3.19	3.28	2.73	2.98
n	7.08	6.51	7.49	7.09	10.71	7.61	6.63	6.60
o	7.55	7.80	7.47	7.60	1.93	6.92	9.93	9.55
p	2.08	1.66	2.42	2.00	0.37	2.53	2.17	2.79
q	0.10	0.13	0.29	0.11	0.02	1.47	1.99	0.82
r	6.24	6.46	6.34	6.12	6.65	6.57	6.17	6.44
s	6.79	5.88	6.47	6.54	6.14	7.56	7.68	5.61
t	9.01	8.67	9.17	9.25	5.79	6.54	3.77	5.74
u	2.76	2.91	2.66	2.71	3.86	6.62	4.86	3.60
v	1.03	0.87	1.14	0.99	0.76	2.22	1.09	2.01
w	1.84	2.51	1.54	1.92	2.01			0.02
x	0.20	0.11	0.51	0.19	0.01	0.37	0.02	0.04
y	1.80	2.57	1.40	1.73	0.01	0.22	1.53	0.02
z	0.12	0.08	0.12	0.09	1.15	0.48	0.40	0.45
$\sum f_i^2$	6.50	6.36	6.65	6.58	7.77	7.55	7.51	7.22

- ▶ The *Vigenère cipher* with an l -letter keyword k uses l Caesar substitutions $\sigma_0, \dots, \sigma_{l-1}$. Alternatively, it can be viewed as a simple substitution $\sigma: A^l \rightarrow A^l$ with $\sigma(x) = x + k$, using letter-wise addition in A^l with truncation at the end. Example: keyword $k = \text{KEY}$ of length $l = 3$, plaintext $x = \text{confuse the enemies}$ yields encryption:

$$\begin{array}{rcl} x & = & \text{CONFUSETHEENEMIES} \\ k' & = & \text{KEYKEYKEYKEYKEYKE} \\ y & = & \text{MSLPYQOXFOILOQQGW} \end{array}$$

As is common in classical cryptography, blanks, spaces, and punctuation marks are ignored. Thus $\sigma(x) = x + k'$, where k' is the 17-letter key obtained from the Vigenère key $k = \text{key}$ by sufficiently many repetitions with the *Procrustes rule* of cutting off unneeded key letters at the end.

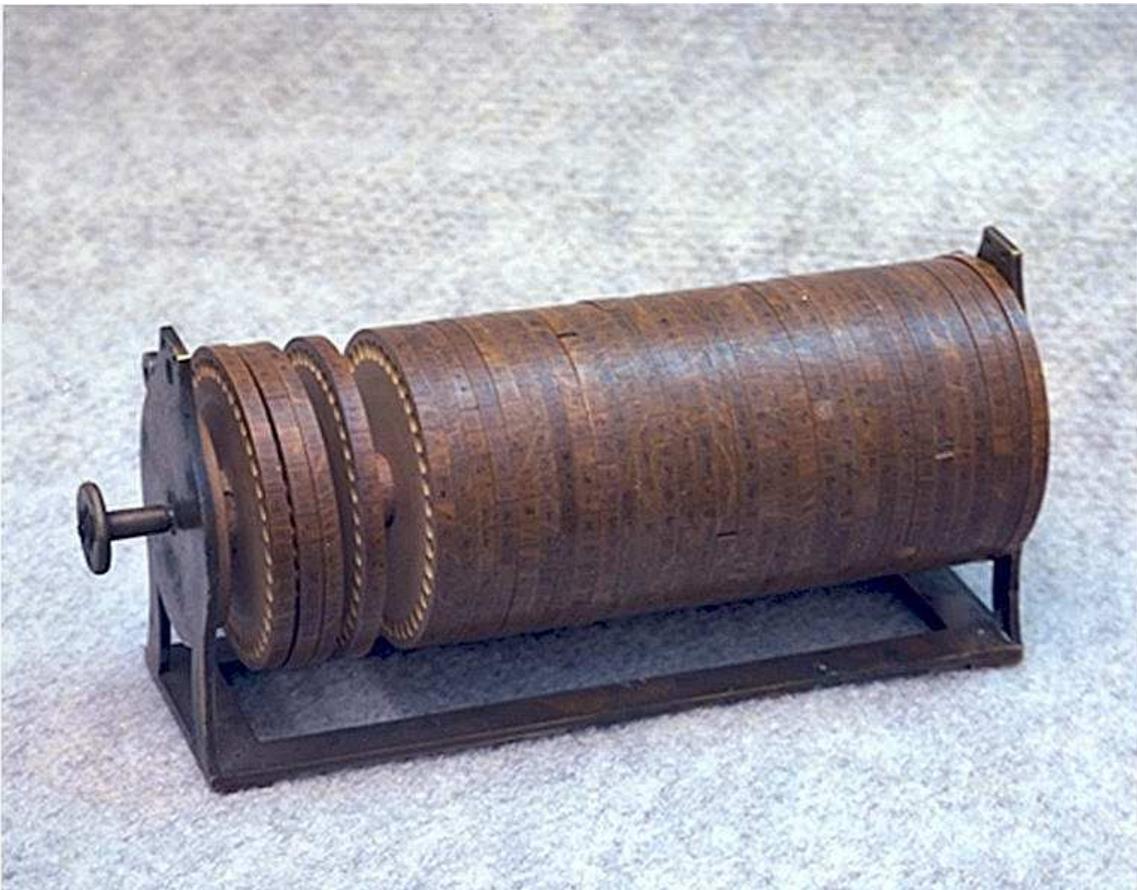
The attentive reader has noticed that in this short example, we have no fewer than four single-letter additions $e + k = o$. This is a general phenomenon, although usually not this frequent, and can be used to break this cryptosystem.

Giambattista della Porta (1535-1615): cipher disk

Mechanical implementations:

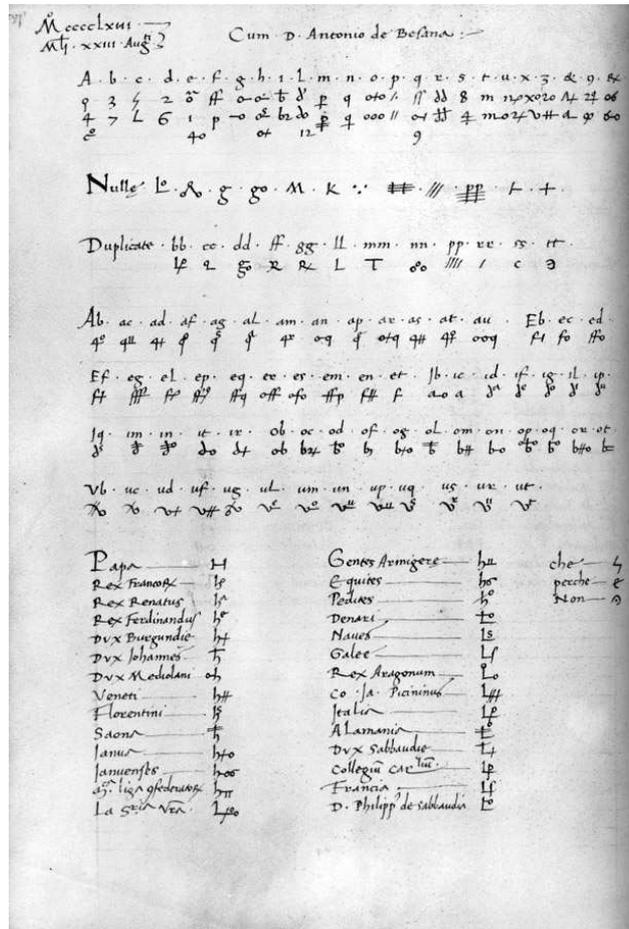


Thomas Jefferson: cipher wheel



- ▶ A *polyalphabetic substitution* applies a fixed sequence of simple substitutions one after the other. When the sequence is exhausted, one starts again with the first one.
- ▶ A *homophonic substitution* works in the same way, only for each letter we do not have just a single possibility, but several ones. We see an example in Trancedino's codebook from 1463. Its first line (after the heading) gives the 21 letters A, b, . . . , z of the alphabet, plus the frequent words and, with, and of. Five of the letters get three possible encryptions, the others two. In general, the goal of the multiple possibilities is to even out the disparate frequencies of the various letters. The corresponding σ is now only a relation, not necessarily a function. The Spanish cipher from around 1590 for Moreo contains the line: *Las nullas tendran una raya enzima, exemplo 19*. This provides a systematic way of introducing a large number of dummies.

Francesco Tranchedino (1411–1496): Nomenclator



Spanish codebook for Moreo, 16th century

A		B	
Aca	son	Barfimento	mem
a dilante	sem	Batalia	Mem
aduermiti	sem	Bateria	lum
affuic	sem	bestias	lum
agora	rum	bestias	lum
aleman	rom	bestia	lum
alla	rim	Bondad	lum
aberracion	rom	Brauante	lum
amigo	ram	Bustelos	lum
amistad	quom	Buro	lum
andamiento	quom	C	
ario	quom	camino	sem
arides	quom	campo	lum
arueres	quom	capitan	lum
apuania	quom	capitulo	lum
aque	rom	cardinal	lum
arabul	rom	caros	lum
argel	rom	caeta	lum
armada	rom	casto	lum
armas	rom	castellan	lum
artilleria	rom	castro	lum
assy	rom	castilla	lum
assistencia	rom	catolic	lum
autoridad	rom	cauallo	lum
auiso	rom	causa	lum
aur	rom	cautela	lum
auque	rom	ceffandad	lum
		ceffa	lum
		ciudad	lum
		color	lum
		comissario	lum
		comission	lum

Las Nulas tendran un araya Cuzima Exemplo 19
 y las Dupliis un o como esto 2625 y todos los que son
 ren num. Tendran un araya Cuzima 26

Spanish codebook for Moreo, 16th century

Las Nullas tendran una raya en cima Exemplo 19
y las Duplicas un 01 como esta 26^{to} y todas las que fueren
ren numer. Tendran una cruz en una 16 26

Spanish codebook 16th century

Cifra particular [1589-1597].

A			C	
Aca	<u>0</u>	Bruselas	<u>35</u>	
adelante	<u>1</u>	bueno	<u>36</u>	
advertimiento	<u>2</u>			
Africa	<u>3</u>			
agora	<u>4</u>	camino	<u>37</u>	
Aleman	<u>5</u>	campo	<u>38</u>	
alla	<u>6</u>	capitan	<u>39</u>	
Alteracion	<u>7</u>	capitulo	<u>40</u>	
amigo	<u>8</u>	cardenal	<u>41</u>	
amistad	<u>9</u>	cargo	<u>42</u>	
andamiento	<u>10</u>	carta	<u>43</u>	
año	<u>11</u>	caso	<u>44</u>	
antes	<u>12</u>	Castellano	<u>45</u>	
Amveres	<u>13</u>	castigo	<u>46</u>	
apparencia	<u>14</u>	castillo	<u>47</u>	
aqui	<u>15</u>	catolico	<u>48</u>	
arcabuz	<u>16</u>	cavallo	<u>49</u>	
Argel	<u>17</u>	causa	<u>50</u>	
armada	<u>18</u>	cautela	<u>51</u>	
armas	<u>19</u>	christiandad	<u>52</u>	
artilleria	<u>20</u>	cifra	<u>53</u>	
assi	<u>21</u>	ciudad	<u>54</u>	
		color	<u>55</u>	
			<u>56</u>	

- ▶ *Nomenclators* or *codebooks* have large alphabets X and Y , with several hundreds (in the 17th century) or thousands (19th century) of elements each. Y has at least as many elements as X does, and the codebook is a simple substitution $\sigma: X \rightarrow Y$, or a relation if homophones are used, as is often the case. The alphabet X usually comprises letters plus certain frequently occurring items, such as syllables, words, or names that were likely to appear in the correspondence. Their use is recorded from 1377 to the Second World War, where in one German submarine cipher each square of a grid covering the North Atlantic was given its code.

- ▶ The basic ingredient of the *one-time pad* is a substitution σ on single bits, where a (random) key bit k is chosen in \mathbb{B} , and a one-bit message $x \in \mathbb{B}$ is encrypted as $\sigma(x) = x + k$. Longer messages are encrypted by repeating this procedure, with keys chosen anew (independently at random) for each message bit. It is unconditionally secure, but highly impractical. The *two-time pad* is insecure; *VENONA*.
- ▶ The *Playfair* cipher is a simple substitution $\sigma: A_0^2 \rightarrow A_0^2$ on digrams, where $A_0 = A \setminus \{j\}$ is the standard alphabet with the letter j removed.
- ▶ In the *Enigma*, the secret key determines (in a complicated fashion) a sequence of simple substitutions $\sigma_0, \sigma_1, \dots$, with $\sigma_i: A \rightarrow A$ for all i .

Latin American cryptography: Cortés

Hernán Cortés (1485–1547), the Spanish *conquistador* of Aztec Mexico, wrote to his representative Francisco Nuñez in Spain on 25 June 1532 from Cuernavaca in Mexico. This letter deals with Cortés' financial arrangements—real estate deals involving whole provinces. Most of the text on its last four pages is encrypted, and was presumably decrypted at the time. However, the *Archivo de Indias* at Sevilla conserves only the original, which resisted several attempts at deciphering. It may well be the first documented cryptography in America.

The *Museo Nacional* in Mexico published in 1924 in the leading local newspapers a competition to decipher it—an early forerunner of today’s crypto challenges. Francisco Monterde García Icazbalceta succeeded, and was presented the prize of 200 pesos in November 1925. The cipher had 1, 2, or 3 symbols (49 in total) for each of the 24 letters, plus *//* and *que*. Monterde solved it by frequency analysis, aided by the separation of words. He explains how difficult the first inroads were, and how easy the rest:

El resto fue sencillo: pude leer la carta con facilidad, reemplazando todos los signos por letras; pero había tenido que emplear varias horas de la noche, durante tres meses, para llegar a ese resultado.

Latin American cryptography: Cortés

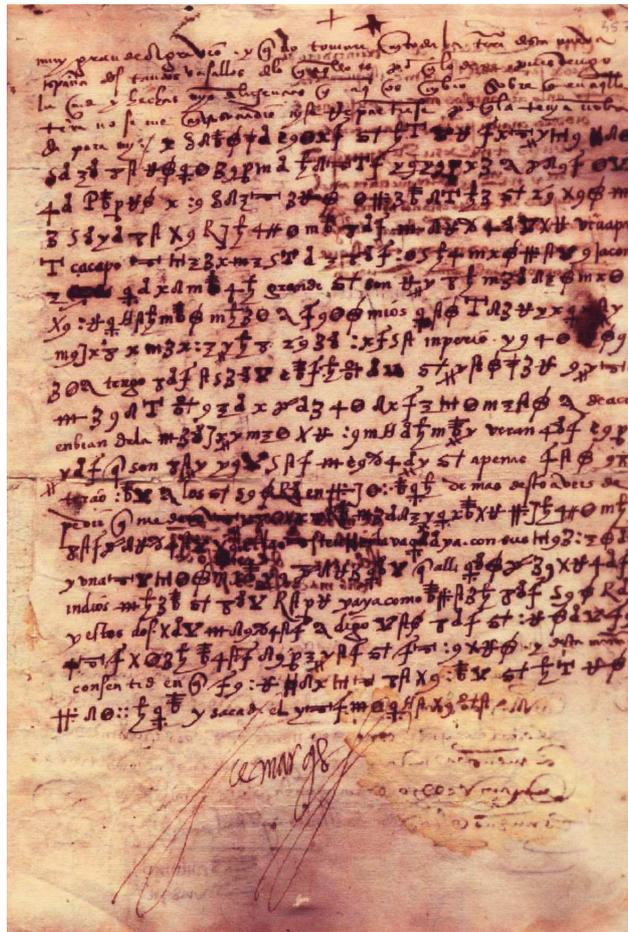


Figure 1: The fourth page of Hernán Cortés' letter from 25 June 1520

Latin American cryptography: Cortés

Shown is the last of Cortés' four pages. The first lines of the ciphertext, beginning on line 5, read:

[...] Y quando veais q ay se siente quánto yo lo encarezco, aueis de dezir q pues así lo hazen y me quiren agrauiar q me den por todo lo de Guaxaca los pueblos de Vruapa y Cacapo e Tiripitío y los Matalcingos e Jacona y [word crossed out] Coyuca la grande q son en la c [p] rouincia de Mechoacán c [p]ara q sean mios con yuredic[i]on ceuil y criminal mero misto inperio de la [ge]neral tengo los otros vasollos q nonbré en el preuillejo y por la uisitación q de aca enbian dela prouincia de Mechoacán veran los vezinos q son [son] destes puebllos q apenas son el tercio más q los q tengo en Guaxaca. [...]

Latin American cryptography: Martí

José Julián Martí Pérez (1853–1895) was the Cuban national hero who led the uprising against Spanish colonial occupation in February 1895. He was consul of Uruguay in New York 1879–1892.



Latin American cryptography: Martí

In a letter to his fellow conspirators in Cuba, he used a Vigenère cipher with key word **habana** = 8, 1, 2, 1, 15, 1 and his 28-letter alphabet $a = 1, b, \dots, l, ll, m, n, ñ, o, \dots$. We show the first lines of his famous *Plan de Alzamiento para Cuba*, written on 8 December 1894 in his New York City exile with two fellow revolutionaries:

N.Y. 8 de Dbre. Reunidos aquí, para acomodar á un mismo fin, sin publicidad ni confusión, *b.g.ya con un término cierto* —las diferentes partes de la labor, hemos decidido, de acuerdo con el enviado especial, apoderado plenamente por *el general Gomez*—, que con nosotros remite copia firmada y la deja en New York, comunicar á Vds. desde hoy las instrucciones precisas, *y ya como finales*, por las que se deberán Vds. guiar ahí.

Latin American cryptography: Martí

N.Y. 8 de Set. - (15)
Reunidos aquí, p.^o acomodados a un mismo fin,
sin publicidad ni confusión, - 10, 8, 29, 2, 18, 18, 23, 24
17, 23, 24, 21, 20, 10, 17, 18, 18, 10, 13, 21, 24, 18, - las diferentes partes de la
labor, hemos decidido, de acuerdo con el envío
de especial, apoderado plenamente por 13, 13, 9, 6, 30,
6, 28, 2, 14, 8, 32, 15, 13, 24 - que con nosotros remi-
te copia firmada y la deja en New York, como
nócar a Vde. desde Rey las instrucciones precisas,
por 35, 28, 3, 4, 32, 15, 25, 8, 11, 16, 16, 13, 13, 32 - por las que se

Figure : The first lines of Martí's plan for the Cuban uprising

Latin American cryptography: Martí

Martí commits a cardinal sin in cryptography: mixing plaintext and ciphertext. But not even such a blunder could stop Cuban independence. The cleartext was written first, leaving space for the ciphertext which was then inserted by another hand. On line 3, too little, and on line 6, too much space had been left within the plaintext.

Periods

antiquity	1500 BC – 100 AD
Arab civilization	800 – 1400
European Middle Ages	1000 – 1500
Renaissance	1450 – 1600
Baroque, salon cryptography	1600 – 1850
mechanical devices	1580 – 1950
electromechanical devices	1920 – 1950
computers	1943 – present
public key systems	1976 – present

Table : Cryptographic time periods.

For the second cryptographic primitive, we have a length parameter l . A *transposition* is simply a bijection (or permutation) on the first l numbers:

$$\tau: \{0, \dots, l - 1\} \longrightarrow \{0, \dots, l - 1\}.$$

- ▶ AES uses the transposition SHIFTRows which performs certain cyclic shifts on the rows of the state matrix.

- ▶ In a single columnar transposition we write the plaintext in r rows of length c and read it off in columns as the ciphertext. Thus $x = \text{COLUMN}$ becomes $y = \text{CLMOUN}$
 $= x_0x_2x_4x_1x_3x_5$ in an $r \times c = 3 \times 2$ array:

$$x = \begin{array}{cc} \text{C} & \text{O} \\ \text{L} & \text{U} \\ \text{M} & \text{N} \end{array}$$

The transposition τ and its inverse α are given by

i	0	1	2	3	4	5
$\tau(i)$	0	3	1	4	2	5
$\alpha(i)$	0	2	4	1	3	5

and one checks that $\tau(i) = 3i - 5\lfloor i/2 \rfloor$.

- ▶ The Spartan *skytale* is a columnar transposition.

From a transposition τ on l numbers we obtain, for any alphabet A , a substitution $\tau_A: A^l \rightarrow A^l$ by setting $\tau_A(x) = x_{\alpha(0)}x_{\alpha(1)} \cdots x_{\alpha(l-1)}$ for $x \in A^l$, where α is the inverse of τ . Thus a transposition of length l yields a simple substitution on l -grams. However, it is profitable to keep the two primitives apart. For one, τ as above is much less “powerful” than a general substitution on A^l , and furthermore, τ works for any A and might be called a “scheme” for such substitutions. From a higher point of view, substitutions are semantic objects and transpositions have a syntactical (or combinatorial) nature.

The classical world: Near East and Europe



Tutankhamun, c. 1343–1323 BC



Tutankhamun's tomb: one wall



The usual hieroglyphic writing system of Ancient Egypt employed symbols at three levels: meaning, word, and sound. As an example, the red crown of lower Egypt  can mean this crown, or be placed after other hieroglyphs spelling this crown letter by letter to categorize them. It is pronounced *dšrt* or *nt*, and can also (rarely) stand for the letter *n*. It is a remarkable achievement—akin to the cryptanalysis of an unknown system in an unknown language—that starting with Jean-François Champollion (1790–1832), egyptologists have learned how to understand and pronounce hieroglyphic texts.

Tutankhamun's second shrine

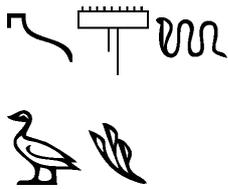


Tutankhamun's second shrine.
Detail at top right: Isis, Nephtys, Ra



Tutankhamun's second shrine. Detail at top right: Isis, Nephtys, Ra

Inscription in lines 2 and 3:



Literally: n ms hfrw sa hn

Decipherment: sn m-ha-f = these are around him [for protection]

Substitution and transposition.

Claude Shannon 1949: confusion and diffusion.

Euphrates near Mari, today in Syria

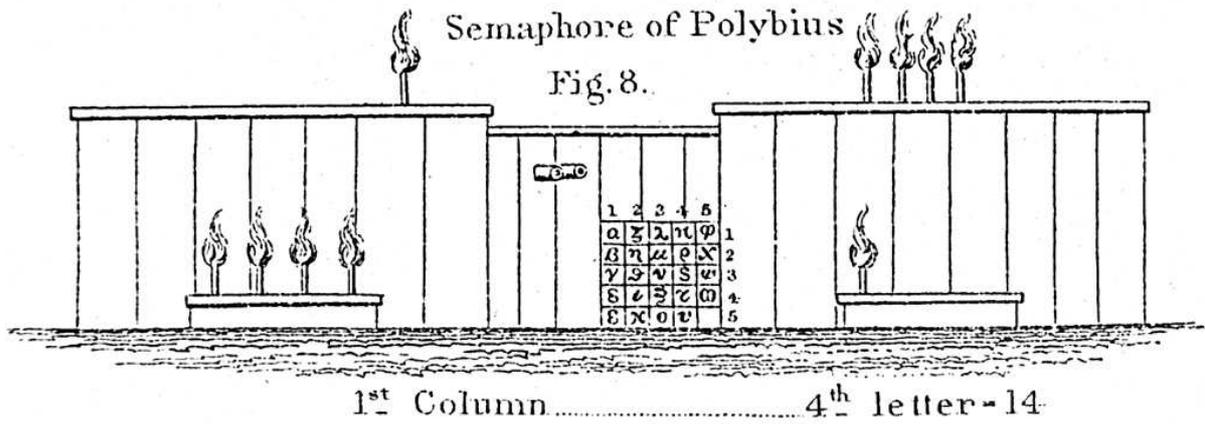


Mari: watch towers, c. 2500 BC



Mari

Polybios' signalling device



The bay of Tisaion

7 km over water to Demetrios, 140 km over land.
King Philip V. of Macedonia, 238–179 BC.
Second Macedonian war.

